

## Lecture 7: Anti-windup and friction compensation

- Compensation for saturations (anti-windup)
- Friction models
- Friction compensation

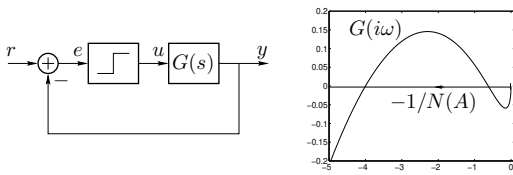
### Material

- Lecture slides

## Course Outline

- Lecture 1-3 Modelling and basic phenomena (linearization, phase plane, limit cycles)
- Lecture 2-6 Analysis methods (Lyapunov, circle criterion, describing functions)
- Lecture 7-8 Common nonlinearities (Saturation, friction, backlash, quantization)
- Lecture 9-13 Design methods (Lyapunov methods, Sliding mode & optimal control)
- Lecture 14 Summary

## Last lecture: Stable periodic solution

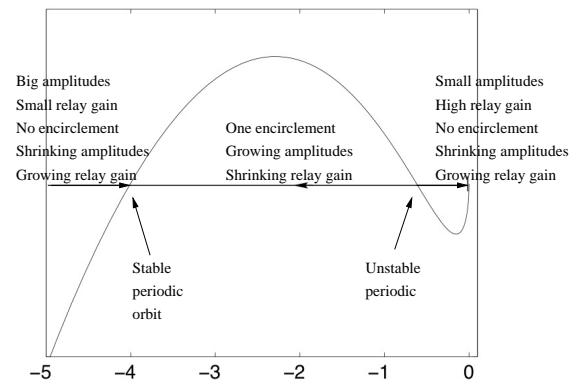


$$G(s) = \frac{(s+10)^2}{(s+1)^3} \quad \text{with feedback } u = -\text{sgn } y$$

gives one stable and one unstable limit cycle. The left most intersection corresponds to the stable one.

## Periodic Solutions in Relay System

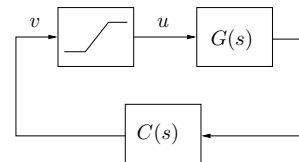
The relay gain  $N(A)$  is higher for small  $A$ :



## Today's Goal

- To be able to design and analyze antiwindup schemes for
  - PID
  - state-space systems
  - and Kalman filters (observers)
- To understand common models of friction
- To design and analyze friction compensation schemes

## Windup – The Problem



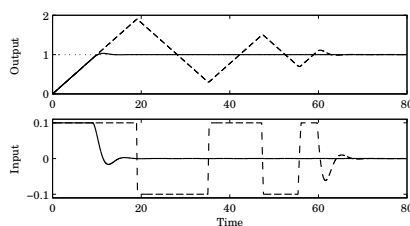
The feedback path is broken when  $u$  saturates

The controller  $C(s)$  is a dynamic system

Problems when controller is unstable (or stable but not AS)

Example: I-part in PID-controller

## Example-Windup in PID Controller

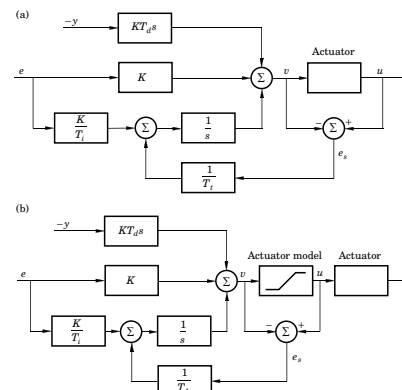


Dashed line: ordinary PID-controller

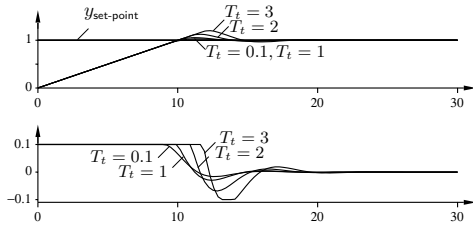
Solid line: PID-controller with anti-windup

## Anti-windup for PID-Controller (“Tracking”)

Anti-windup (a) with actuator output available and (b) without



## Choice of Tracking Time $T_t$

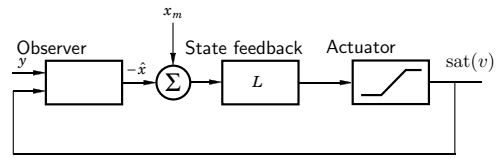


With very small  $T_t$  (large gain  $1/T_t$ ), spurious errors can saturate the output, which leads to accidental reset of the integrator. Too large  $T_t$  gives too slow reaction (little effect).

The tracking time  $T_t$  is the design parameter of the anti-windup.

Common choices:  $T_t = T_i$  or  $T_t = \sqrt{T_i T_d}$ .

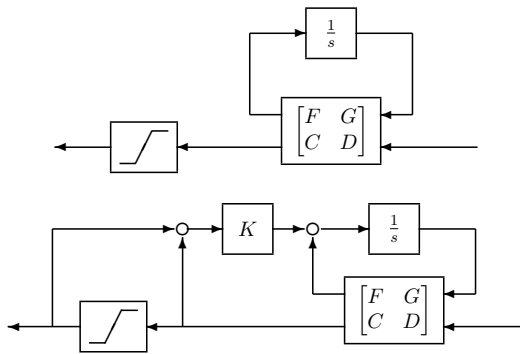
## State feedback with Observer



$$\begin{aligned}\dot{\hat{x}} &= A\hat{x} + B \text{sat}(v) + K(y - C\hat{x}) \\ v &= L(x_m - \hat{x})\end{aligned}$$

$\hat{x}$  is estimate of process state,  $x_m$  desired (model) state.  
Need model of saturation if  $\text{sat}(v)$  is not measurable

State-space controller without and with anti-windup:

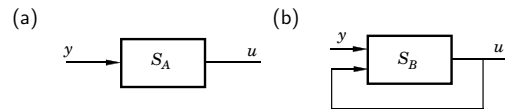


## Antiwindup – General State-Space Controller

State-space controller:

$$\begin{aligned}\dot{x}_c(t) &= Fx_c(t) + Gy(t) \\ u(t) &= Cx_c(t) + Dy(t)\end{aligned}$$

Windup possible if  $F$  is unstable and  $u$  saturates.



Idea:

Rewrite representation of control law from (a) to (b) such that:

(a) and (b) have same input-output relation

(b) behaves better when feedback loop is broken, if  $S_B$  stable

## Antiwindup – General State-Space Controller

Mimic the observer-based controller:

$$\begin{aligned}\dot{x}_c &= Fx_c + Gy + K \underbrace{(u - Cx_c - Dy)}_{=0} \\ &= (F - KC)x_c + (G - KD)y + Ku \\ &= F_0x_c + G_0y + Ku\end{aligned}$$

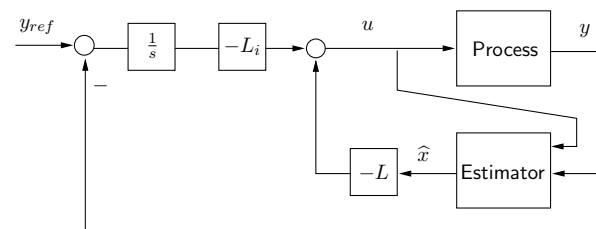
Design so that  $F_0 = F - KC$  has desired stable eigenvalues

Then use controller

$$\begin{aligned}\dot{x}_c &= F_0x_c + G_0y + Ku \\ u &= \text{sat}(Cx_c + Dy)\end{aligned}$$

## 5 Minute Exercise

How would you do antiwindup for the following state-feedback controller with observer and integral action?



## Saturation

Optimal control theory (later)

**Multi-loop Anti-windup (Cascaded systems):**

Difficult problem, several suggested solutions

Turn off integrator in outer loop when inner loop saturates

## Friction

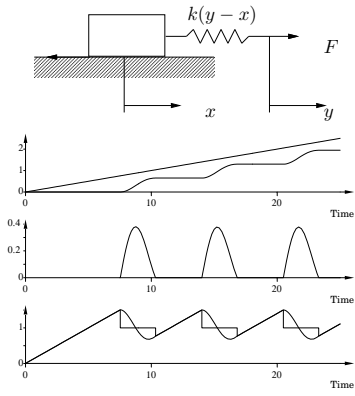
Present almost everywhere

- ▶ Often bad
  - ▶ Friction in valves and mechanical constructions
- ▶ Sometimes good
  - ▶ Friction in brakes
- ▶ Sometimes too small
  - ▶ Earthquakes

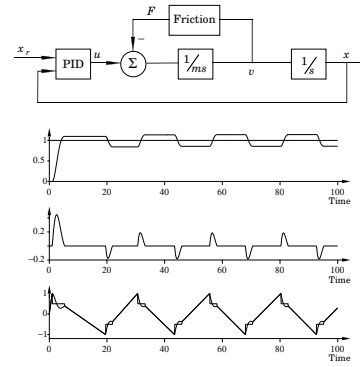
Problems

- ▶ How to model friction
- ▶ How to compensate for friction

## Stick-slip Motion



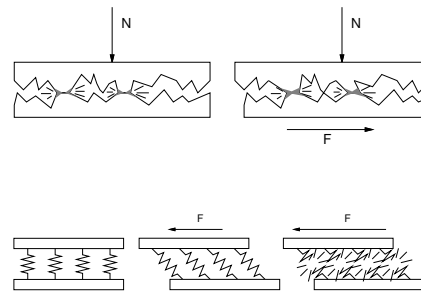
## Position Control of Servo with Friction – Hunting



## 3 Minute Exercise

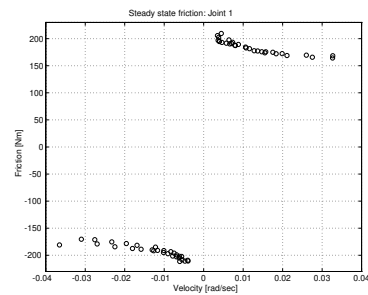
What are the signals in the previous plots? What model of friction has been used in the simulation?

## Friction



## Stribeck Effect

For low velocity: friction increases with decreasing velocity  
Stribeck (1902)



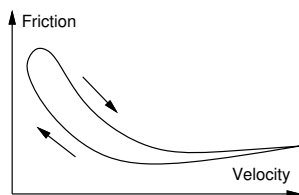
## Frictional Lag

Dynamics are important also outside sticking regime

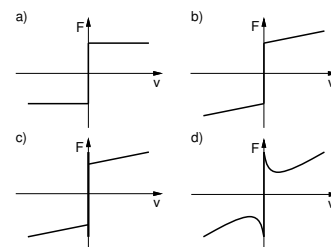
Hess and Soom (1990)

Experiment with unidirectional motion  $v(t) = v_0 + a \sin(\omega t)$

Hysteresis effect!



## Classical Friction Models



$$c) \quad F(t) = \begin{cases} F_c \text{ sign } v(t) + F_v v(t) & v(t) \neq 0 \\ \max(\min(F_e(t), F_s), -F_s) & v(t) = 0 \end{cases}$$

$F_e(t)$  = external applied force,  $F_c, F_v, F_s$  constants

## Advanced Friction Models

See PhD-thesis by Henrik Olsson

- ▶ Karnopp model
- ▶ Armstrong's seven parameter model
- ▶ Dahl model
- ▶ Bristle model
- ▶ Reset integrator model
- ▶ Bliman and Sorine
- ▶ LuGre model (Lund-Grenoble)

## Demands on a model

To be useful for control the model should be

- ▶ sufficiently accurate,
- ▶ suitable for simulation,
- ▶ simple, few parameters to determine.
- ▶ physical interpretations, insight

Pick the simplest model that does the job! If no stiction occurs the  $v = 0$ -models are not needed.

## Friction Compensation

- ▶ Lubrication
- ▶ Integral action (beware!)
- ▶ Dither
- ▶ Non-model based control
- ▶ Model based friction compensation
- ▶ Adaptive friction compensation

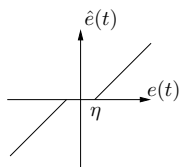
## Integral Action

- The integral action compensates for any external disturbance
- Good if friction force changes slowly ( $v \approx \text{constant}$ ).
- To get fast action when friction changes one must use much integral action (small  $T_i$ )
- Gives phase lag, may cause stability problems etc

## Deadzone - Modified Integral Action

Modify integral part to  $I = \frac{K}{T_i} \int_0^t \hat{e}(t) d\tau$

$$\text{where input to integrator } \hat{e} = \begin{cases} e(t) - \eta & e(t) > \eta \\ 0 & |e(t)| < \eta \\ e(t) + \eta & e(t) < -\eta \end{cases}$$

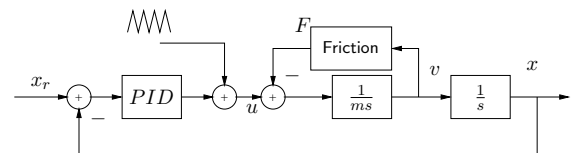


**Advantage:** Avoid that small static error introduces limit cycle

**Disadvantage:** Must accept small error (will not go to zero)

## Mechanical Vibrator-Dither

Avoids sticking at  $v = 0$  where there usually is high friction by adding high-frequency mechanical vibration (dither)

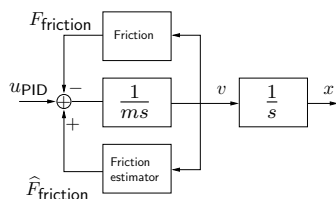


Cf., mechanical maze puzzle (labyrintspel)



## Adaptive Friction Compensation

Coulomb Friction  $F = a \operatorname{sgn}(v)$



Assumption:  $v$  measurable.

Friction estimator:

$$\begin{aligned} \dot{z} &= k u_{\text{PID}} \operatorname{sgn}(v) \\ \hat{a} &= z - km|v| \\ \hat{F}_{\text{friction}} &= \hat{a} \operatorname{sgn}(v) \end{aligned}$$

Result:  $e = a - \hat{a} \rightarrow 0$  as  $t \rightarrow \infty$ ,

since

$$\begin{aligned} \frac{de}{dt} &= \frac{d\hat{a}}{dt} = \frac{dz}{dt} - km \frac{d}{dt}|v| \\ &= k u_{\text{PID}} \operatorname{sgn}(v) - km \dot{v} \operatorname{sgn}(v) \\ &= k \operatorname{sgn}(v)(u_{\text{PID}} - m\dot{v}) \\ &= -k \operatorname{sgn}(v)(F - \hat{F}) \\ &= -k(a - \hat{a}) \\ &= -ke \end{aligned}$$

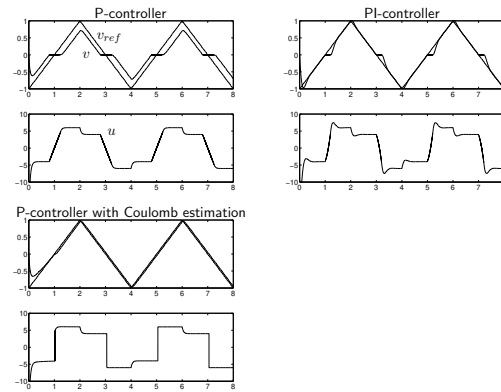
Remark: Careful with  $\frac{d}{dt}|v|$  at  $v = 0$ .

## Example–Friction Compensation

Velocity control with

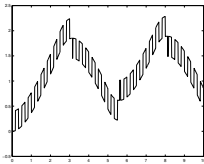
- a) P-controller
- b) PI-controller
- c) P + Coulomb estimation

## Results



### The Knocker

Combines Coulomb compensation and square wave dither



Tore Hägglund, Innovation Cup winner + patent 1997

## Next Lecture

- ▶ Backlash
- ▶ Quantization